

STATE OF NEVADA
DEPARTMENT OF BUSINESS AND INDUSTRY
DIVISION OF MORTGAGE LENDING
1830 College Pkwy, Suite 100 Carson City, NV 89706
(775) 684-7060 Fax (775) 684-7061
www.mld.nv.gov

NON-PERSONAL DISCLOSURE FORM

(Submit for other than Natural Persons)

A Non-Personal Disclosure Form (For Renewal Purposes Only) is required for the applicant/licensee and any parent entity.

- Primary Applicant
- Member, partner or owner entity who owns or controls 10% or more in the applicant
- Parent company

Print or type an answer to every question. If a question does not apply, please mark the section N/A for not applicable. If there is not enough space to answer the question sufficiently, continue on the Explanation Form and mark each answer with the corresponding number of the question. Do not misstate or omit any material fact(s). Such statements made herein are subject to verification. Incomplete applications will not be processed.

Applicants are advised that this Non-Personal History Record is an official document and misrepresentations or failure to disclose information requested may be deemed sufficient cause for the denial of renewal and/or revocation of a license.

Full Legal Name of Entity: _____		
List any DBA of the entity if not the legal name: _____		
License: _____		
Taxpayer Identification: _____		
Address of Main Place of Business: _____		
City	State	Zip
Licensed Entity's Principal Place of Business in Nevada (if applicable): _____		
City	NV	Zip
Telephone: _____	Email: _____	

You are **required** to provide an explanation for "yes" answers to the questions below. Include the date, charge, agency, location, disposition explanation, and copies of supporting documents, as applicable. Please complete the attached Explanation Form if additional space is needed.

**INACCURACIES OR OMISSIONS MAY RESULT IN DENIAL OR DELAY
IN PROCESSING YOUR APPLICATION**

- a. Has any owner, officer or director of the applicant *ever* been charged, arrested, convicted of, or pled guilty or nolo contendere ("no contest") to any felony or misdemeanor in any domestic, foreign or military court? You must include all convictions, whether sealed or expunged, as well as explanations related to charges which were dismissed, denied, withheld, pled down, or other action taken in his response.

Yes No

If the answer is "Yes," please explain in detail all charges, arrests, convictions and pleas, including the jurisdictions and dispositions.

- b. Has the applicant or any company in which the applicant has ever held an ownership interest (other than an ownership interest in a publicly-traded company) or over which the applicant has exercised control *ever* had an administrative action taken by, or entered into any settlement agreement with, any federal, state or local governmental agency, whether in a civil or criminal matter?

Yes No

If the answer is "Yes," give details:

- c. Has the applicant or any company in which the applicant has ever held an ownership interest (other than an ownership interest in a publicly-traded company) or over which the applicant has exercised control *ever* had conditions placed upon a privileged or professional license or registration, or had a privileged or professional license or registration that was issued in the State of Nevada or any other state, district or territory of the United States or any foreign country denied, suspended or revoked by any local, state, federal or other regulatory entity?

Yes No

If the answer is "Yes," give details:

- d. Has the applicant within the past 10 years made a compromise with creditors, filed a bankruptcy petition or been the subject of an involuntary bankruptcy petition for an organization while the applicant exercised control over it or held an ownership interest in it (other than an ownership interest in a publicly-traded company)?

Yes No

If the answer is "Yes," give details:

- e. Has a bonding company ever denied, paid out on, or revoked a bond for the applicant or any company in which the applicant is or has ever been an owner or over which the applicant has exercised control?

Yes No

If the answer is "Yes," give details:

- f. Does the applicant or any company in which the applicant has ever held an ownership interest (other than an ownership interest in a publicly-traded company) or over which the applicant has exercised control have any unsatisfied judgments or liens?

Yes No

If the answer is "Yes," give details:

- g. Does any owner, officer or director of the applicant have a relative who is or has been associated with the mortgage industry in any state? ("Relative" means a spouse or any other person related within the second degree by blood or marriage.)

Yes No

If the answer is "Yes," give details:

- h. Has the applicant or any company in which the applicant has ever held an ownership interest (other than an ownership interest in a publicly-traded company) or over which the applicant has exercised control had a civil or criminal record expunged or sealed by a court order?

Yes No

If the answer is "Yes," give details:

- i. Has the applicant or any company in which the applicant has ever held an ownership interest (other than an ownership interest in a publicly-traded company) or over which the applicant has exercised control been a party to any past or present civil litigation?

Yes No

If the answer is "Yes," give details:

- j. Is the applicant subject to any pending regulatory action in the State of Nevada or any other state?

Yes No

If the answer is "Yes," give details:

- k. Is the applicant subject to any pending actions that could result in a "yes" answer to any of the above questions?

Yes No

If the answer is "Yes," give details:

EXPLANATION FORM (Use this form for explanation and additional space needed to answer questions.)

Question Number	Explanation

I, the undersigned, state that I am authorized to sign the within Non-Personal Disclosure Form on behalf of the applicant named herein; that I have read and signed said Non-Personal Disclosure Form and know the contents thereof; and that the statements made therein are true. By signing below, I represent that I have personally completed this Non-Personal Disclosure Form and verified the information contained herein.

APPLICANT'S SIGNATURE:

Name of Applicant: _____

By: _____

Authorized Signatory

Name (print or type)

Title

Date

Or:

NON-NATURAL PERSON/ENTITY:

Name of Entity: _____

By: _____

Authorized Signatory

Name (print or type)

Title

Date

Subscribed and sworn to before me this ____ day of _____, 20 ____

Notary public in and for the County of _____, State of _____

My commission expires _____

Notary Signature _____

Notary Seal