

STATE OF NEVADA  
DEPARTMENT OF BUSINESS AND INDUSTRY  
**DIVISION OF MORTGAGE LENDING**  
3300 West Sahara Avenue, Suite 285  
Las Vegas, NV 89102  
(702) 486-0782 Fax (702) 486-0785  
www.mld.nv.gov

**NEVADA ENFORCEMENT AND DISCIPLINARY ACTION REPORT**

Name of Company: \_\_\_\_\_

MLD License No.: \_\_\_\_\_ NMLS ID: \_\_\_\_\_

Pursuant to NAC 645F Adopted Regulation R120-15 Section 42(1)(2), a Mortgage Servicer shall report to the Commissioner any disciplinary or enforcement action, denial of an application for a license or registration, settlement agreement or other similar action involving the Mortgage Servicer and another state or federal regulator. The Mortgage Servicer shall file a report with the Commissioner within 10 days after the action on this form. A Mortgage Servicer shall report to the Commissioner any bankruptcy petitions filed by or against the Mortgage Servicer. The Mortgage Servicer shall file the report with the Commissioner within 10 days after the bankruptcy petition is filed, on this form.

This form should be submitted to the Division by electronic mail at: [MLDInfo@mld.nv.gov](mailto:MLDInfo@mld.nv.gov)

- Disciplinary or Enforcement Action:
  - Explanation of disciplinary action: \_\_\_\_\_
  - Who filed the action: \_\_\_\_\_ Date filed: \_\_\_\_\_
  - Type of enforcement action: \_\_\_\_\_
  - Who filed the action: \_\_\_\_\_ Date filed: \_\_\_\_\_
  
- Denial of an Application for a license or registration:
  - Date of denial: \_\_\_\_\_
  - Type of license or registration requested: \_\_\_\_\_
  - Reason for denial: \_\_\_\_\_
  - Jurisdiction/state that denied the application or registration: \_\_\_\_\_
  
- Settlement Agreement:
  - Who are the parties involved in the agreement: \_\_\_\_\_
  - Type of agreement: \_\_\_\_\_ (i.e. consent order, etc.)
  
- Bankruptcy Petitions filed by or against Licensee:
  - Bankruptcy Chapter: \_\_\_\_\_ Date filed: \_\_\_\_\_