

STATE OF NEVADA
DEPARTMENT OF BUSINESS AND INDUSTRY
DIVISION OF MORTGAGE LENDING
3300 West Sahara Avenue, Suite 285
Las Vegas, NV 89102
(702) 486-0782 Fax (702) 486-0785
www.mld.nv.gov

NEVADA ENFORCEMENT AND DISCIPLINARY ACTION REPORT

Name of Company: _____

MLD License No.: _____ NMLS ID: _____

Pursuant to NAC 645F Adopted Regulation R120-15 Section 42(1)(2), a Mortgage Servicer shall report to the Commissioner any disciplinary or enforcement action, denial of an application for a license or registration, settlement agreement or other similar action involving the Mortgage Servicer and another state or federal regulator. The Mortgage Servicer shall file a report with the Commissioner within 10 days after the action on this form. A Mortgage Servicer shall report to the Commissioner any bankruptcy petitions filed by or against the Mortgage Servicer. The Mortgage Servicer shall file the report with the Commissioner within 10 days after the bankruptcy petition is filed, on this form.

This form should be submitted to the Division by electronic mail at: MLDInfo@mld.nv.gov

- Disciplinary or Enforcement Action:
 - Explanation of disciplinary action: _____
 - Who filed the action: _____ Date filed: _____
 - Type of enforcement action: _____
 - Who filed the action: _____ Date filed: _____

- Denial of an Application for a license or registration:
 - Date of denial: _____
 - Type of license or registration requested: _____
 - Reason for denial: _____
 - Jurisdiction/state that denied the application or registration: _____

- Settlement Agreement:
 - Who are the parties involved in the agreement: _____
 - Type of agreement: _____ (i.e. consent order, etc.)

- Bankruptcy Petitions filed by or against Licensee:
 - Bankruptcy Chapter: _____ Date filed: _____